

PLAN SPONSOR CONSULTING & PARTICIPANT SERVICES

Take the first step.♦

Call Don Settina to get your
company retirement plan
headed in the right direction.

At the end of the day, the month, or the year, wouldn't it be great to know you've taken the critical steps to help meet your responsibilities as a plan sponsor?

That you've overcome the plan management challenges. You've simplified your process. You've complied with fiduciary guidelines. You've educated your employees. And, bottom line, that you've directed a group of people towards a successful retirement.

At Don Settina, Inc., we're on the same path you are, and we help you carry the load. We do it through experience, comprehensive knowledge, a strong commitment to plan success, and the 401k Service Solution™.

Take the first step towards having a successful and compliant plan. Call Don Settina today.



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Dear Plan Sponsor,

Managing a company retirement plan can be challenging. When you step back and review all that is involved in running a successful and compliant plan, the responsibilities can seem daunting.

Over the years, my experience and professional training have taught me some very valuable lessons. Let me share two of them with you.

One lesson learned is that it is possible for everyone to achieve a successful plan as long as you understand what success means not only from a management perspective, but also what it means to participants AND to the Department of Labor.

The second lesson I want to share with you is that change is constant, and if you don't have a documented process for the ongoing management of your company retirement plan, I would not want to be following in your footsteps if the Department of Labor should happen to sneak up behind you.

Based on lessons learned, I developed a process that breaks plan management down into six easy steps and implements an ongoing review system that helps you reach your goal of a successful plan—from everyone's perspective. This process is called the 401k Service Solution™.

After you review the information about this process, I hope

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401k Service SOLUTION

Six plan n

Six steps.

One simple



1

Identify plan goals, objectives & shortfalls.



2

Develop and implement an Investment Policy Statement.



3

Implement a fiduciary risk management program to document efforts.



4

Benchmark your plan's expenses and review your provider.



Understand your Responsibility



File Your Documented Process & Continue to Monitor Your Plan



Understand Your Rules
First, request your **FREE** Education Series more about the rules and how to manage them. Each guide in the series covers one of the 10 key management

Identify Issues & Document
Next, the 401k Service Solution™